

# TRAUMATIC EXPERIENCES

*"In a momentous shift, contemporary Western culture now emphasizes not resilience but vulnerability. We've invited people to see a widening range of experiences as liable to make them ill. This becomes a problem because we are globalizing our culture. We are presenting just one version of human nature – one set of ideas about pain and suffering – as being definitive. In truth, there is no one psychology."*

D. Summerfield, MRCPsych, Maudsley Hospital

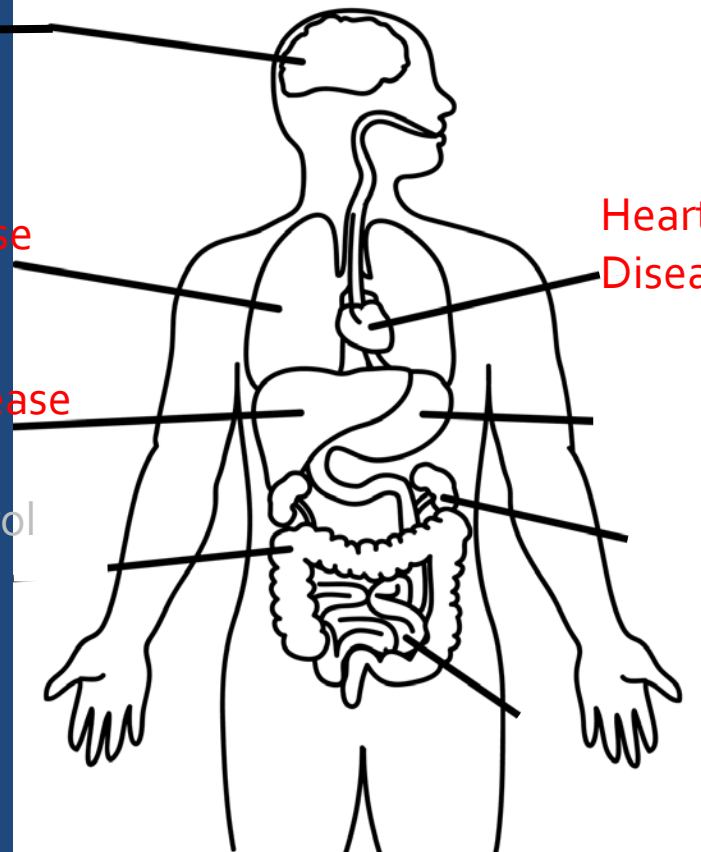
# ADVERSE CHILDHOOD EXPERIENCES: ADDITIONAL HEALTH ASSOCIATIONS

- Suicide Attempts
- Depressed Mood
- Alcohol, Illicit drug, Tobacco Misuse
- Multiple Sexual Partners
- Chronic Headaches
- Primary Insomnia
- Emotional and Behavioral Dysregulation
- Intellectual Impairment
- Somatic Disorders
- Hallucinations
- Anxiety disorders
- Obsessive-Compulsive Disorders

Lung Disease

Liver Disease

Elevated Cholesterol



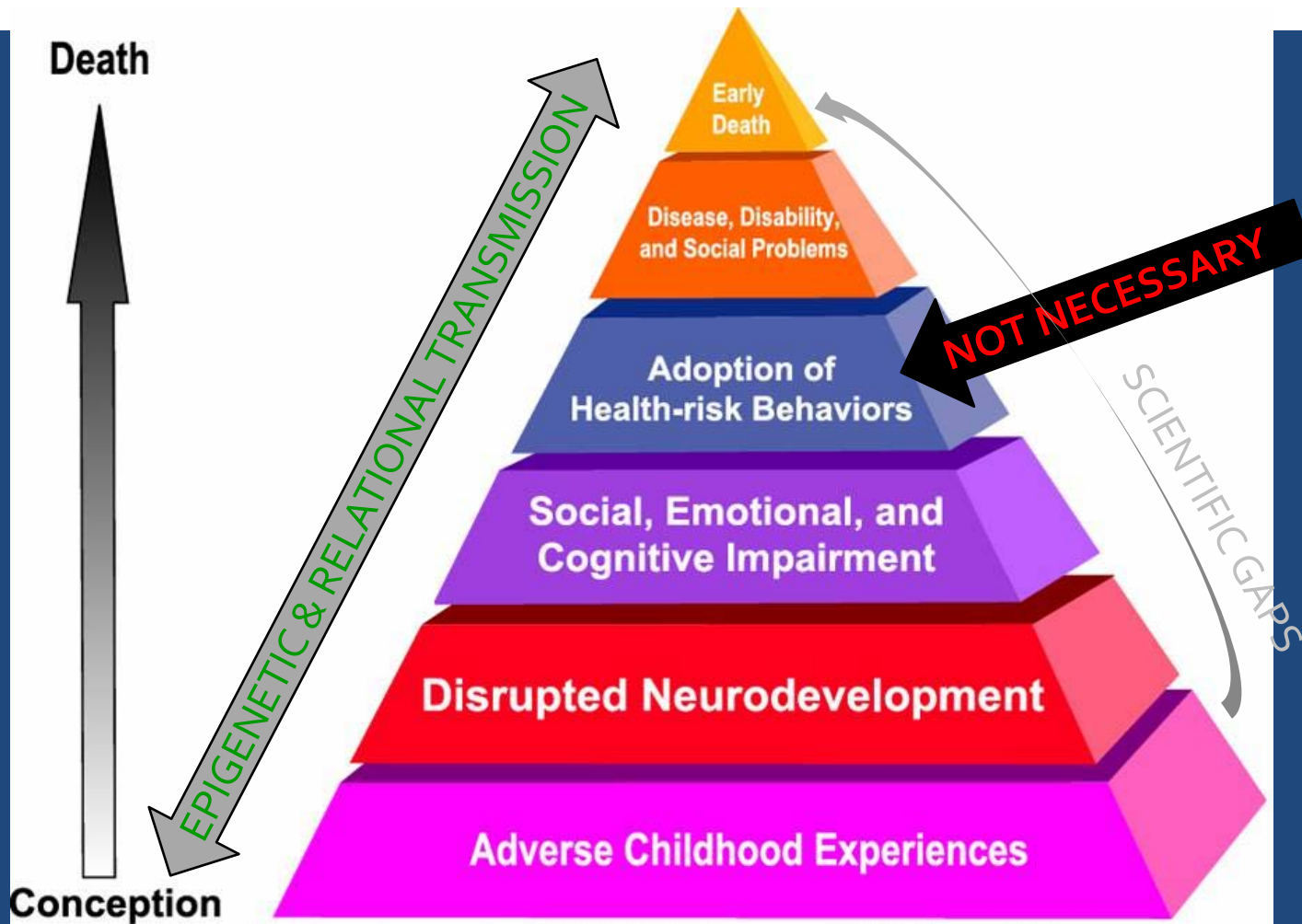
Heart Disease

- Reported Poor Health
- Physical Inactivity
- Unemployment
- Less Education Attainment
- Cancer
- Skeletal Fractures
- Severe Obesity
- Rheumatoid Arthritis

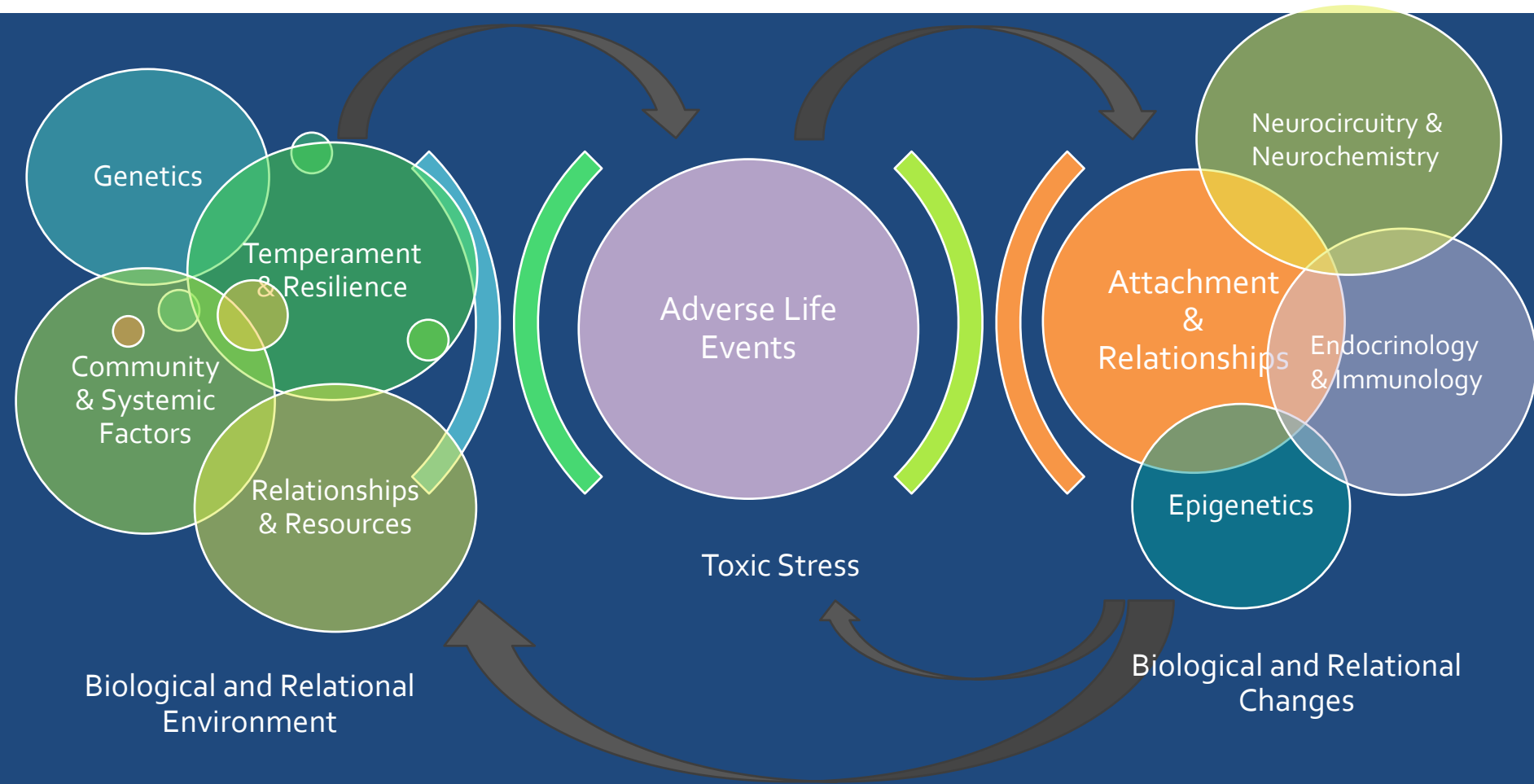
Sexually Transmitted Infections

Oral, et al. Pediatric Research (2016) 79, 227-233

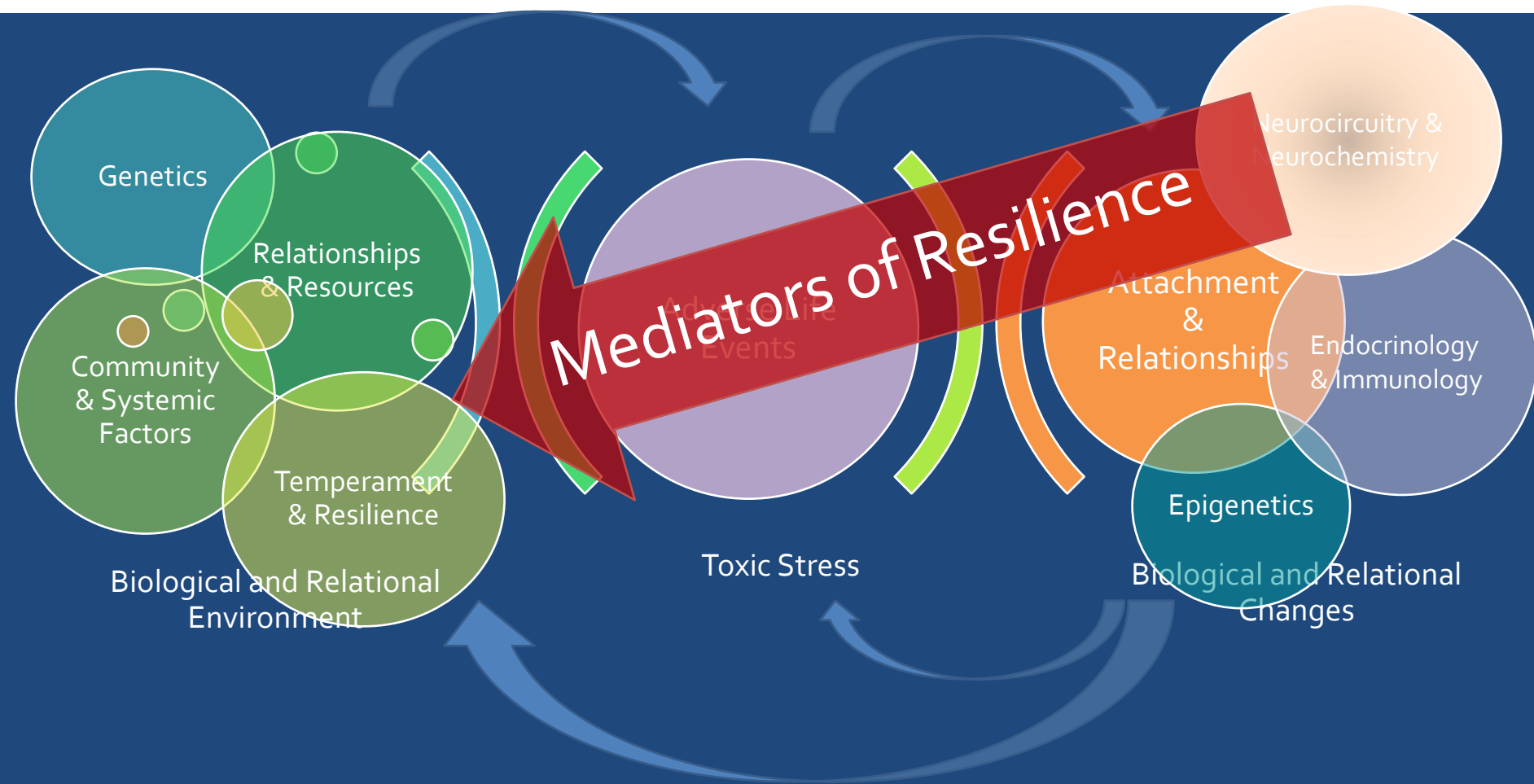
# MECHANISM OF INFLUENCE OF ADVERSE CHILDHOOD EXPERIENCES



# INTERACTIONAL IMPACT

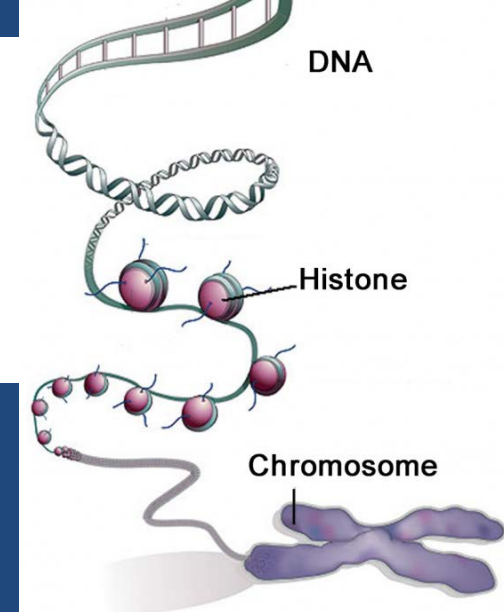


# INTERACTIONAL IMPACT



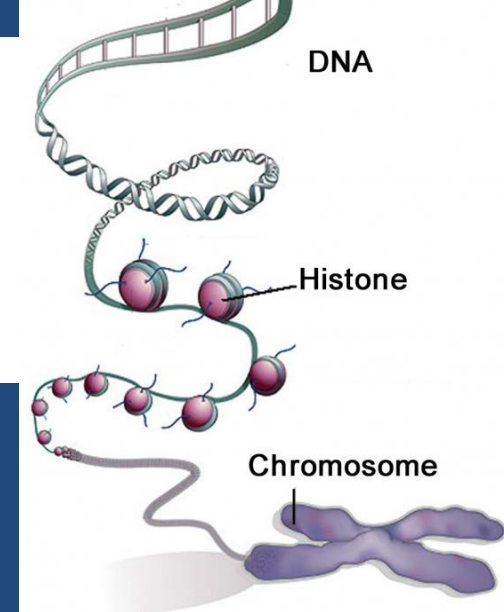
# GENETICS

- **HPA Axis**
  - FKBP<sub>5</sub>, ADCYAP<sub>1R1</sub>, CRHR<sub>1</sub>, NR<sub>3C1</sub>
- **Serotonergic Function**
  - SLC6A<sub>4</sub>, 5-HTTLPR
- **Dopaminergic and Noradrenergic Function**
  - COMT, DAT<sub>1</sub>, DRD<sub>2,4</sub>
- **Adaptation to chronic stress**
  - NPY
- **Neuronal Plasticity**
  - BDNF



# EPIGENETICS

- EPIGENETICS: gene expression
  - **Synaptic plasticity**
    - NMDA receptor GRIN<sub>1</sub>
  - **Stress response modulation**
    - Glucocorticoid receptor NR<sub>3</sub>C<sub>1</sub>
    - Serotonin transporter SLC6A<sub>4</sub>
    - DNA binding protein ID<sub>3</sub>
  - **Neurocircuitry development**
    - TPPP, BDNF
- TELOMERES
  - **Shortened telomeres**



# NEUROCIRCUITRY & NEUROCHEMISTRY

*"Responsive relationships are developmentally expected and biologically essential."*

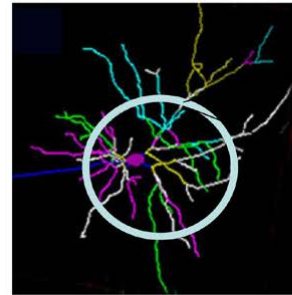
Harvard Center on the Developing Child:  
Working Paper 12: The Science of Neglect



Center on the Developing Child  
HARVARD UNIVERSITY

## Persistent Stress Changes Brain Architecture

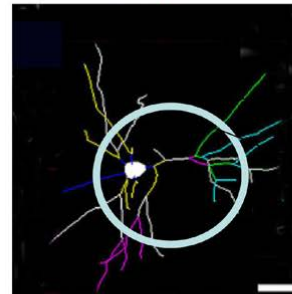
Normal



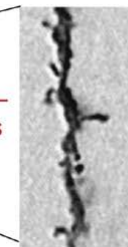
Typical neuron—  
many connections



Toxic  
stress



Damaged neuron—  
fewer connections

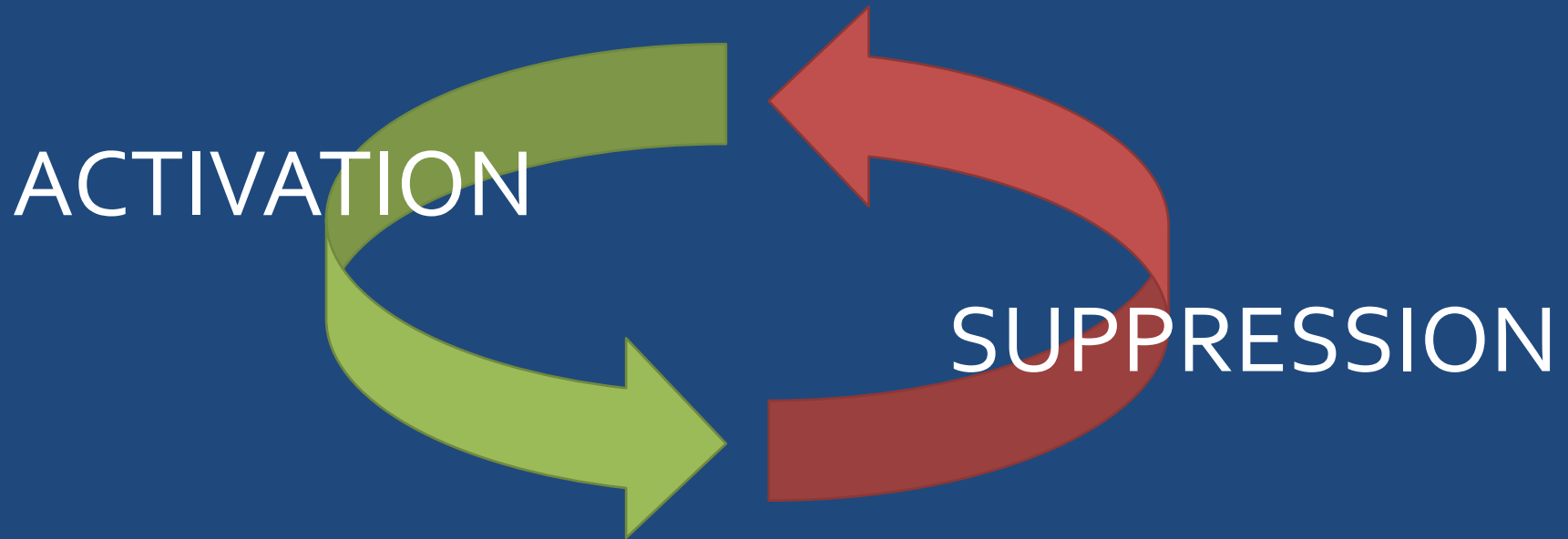


Prefrontal Cortex and  
Hippocampus

Sources: Radley et al. (2004)  
Bock et al. (2005)



# NEGATIVE FEEDBACK DYSREGULATION



# NEUROCIRCUITRY & NEUROCHEMISTRY

**PFC plasticity**

Prefrontal  
Cortical Regions  
COGNITION  
EXECUTIVE  
FUNCTION

Hypothalamus  
BIORHYTHMS  
AUTONOMIC &  
NEUROENDOCRINE  
REGULATION

Thalamus  
PRIMARY RELAY  
STATION  
MEMORY

**Hippocampal  
cellularity**

Hippocampus  
DECLARATIVE &  
EMOTIONALLY  
SALIENT  
MEMORY

**LIMBIC  
SYSTEM:**  
Olfaction  
Emotion  
Drives  
Memory  
Autonomic &  
Neuroendocrine  
regulation

**PFC/ACC -  
amygdala  
microcircuits**

Anterior  
Cingulate  
REGULATION OF  
ATTENTION &  
ENGAGEMENT

Amygdala  
EMOTIONAL SIGNIFICANCE  
DRIVES

Nucleus  
Accumbens  
REGULATION OF  
EMOTIONS &  
MOTIVATION

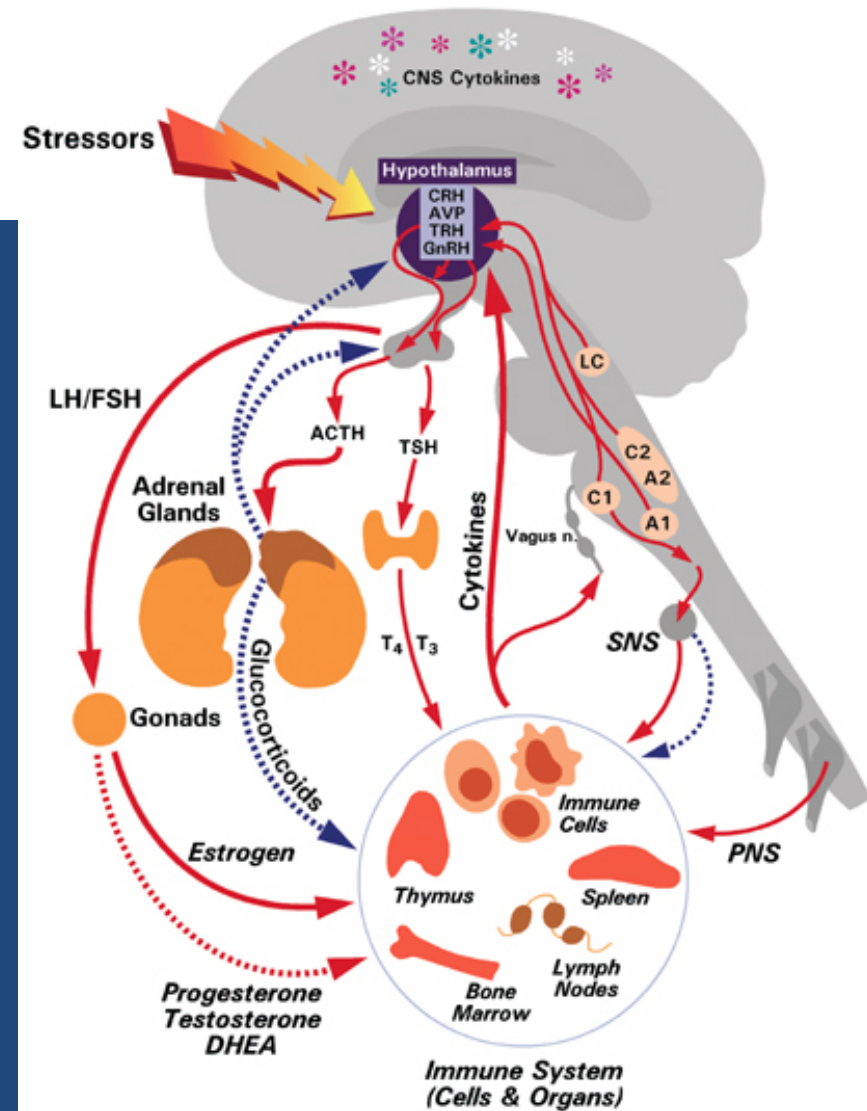
**Limbic & Salience  
network reactivity &  
responsiveness**

- McLaughlin 2015
- Bock 2014
- Suzuki 2014
- Wu 2013
- Russo 2012
- Tottenham 2010
- Feder 2009
- Gillespie 2009
- Charney 2004

# ENDOCRINOLOGY & IMMUNOLOGY

## HPA AXIS (hypothalamic-pituitary-adrenal)

- BIORHYTHMS
  - Sympathetic and Parasympathetic Regulation
  - Emotional Regulation
  - Sleep
  - Appetite & Nutrition
  - Energy & Exercise
- IMMUNE & INFLAMMATORY RESPONSE
  - Activation and Downregulation



Webster et al. Neuroendocrine regulation of immunity. Annu. Rev. Immunol. 2002, 20, 125-163.

# SENSITIVE PERIODS FOR BRAIN DEVELOPMENT

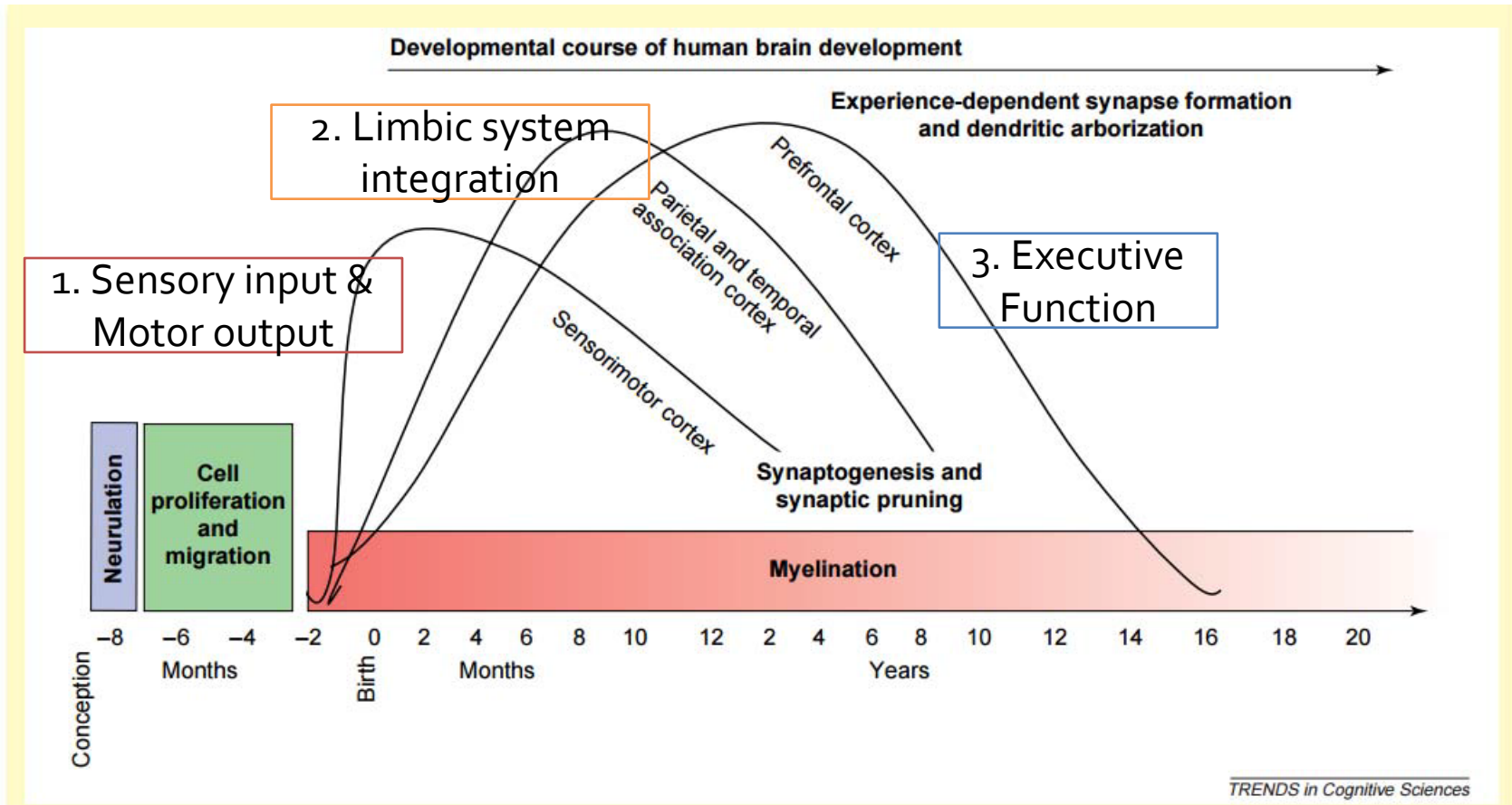
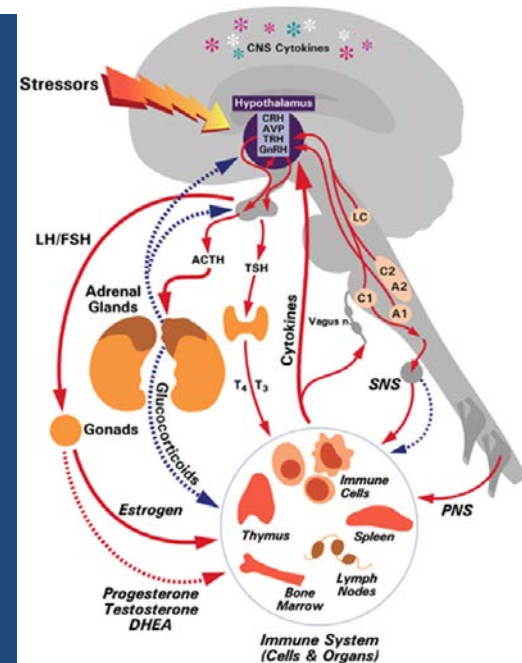


Figure 1. See text for details. Adapted with permission from Ref. [66].

Casey et al., TICS, 2005

# TRAUMA AND EARLY ADVERSITY MAKE MORE DIFFICULT:

- Endogenous feedback and regulation
- Diverse aspects of health and well-being
- Predicting health and relational outcomes



*...But not impossible*

# TRAUMA AND EARLY ADVERSITY MAKE MORE DANGEROUS:

- **Trust & Collaboration**
- **Inhibition:** fear, impulses
- **Attention, Engagement, Memory**
- **Flexibility:** emotional, cognitive, behavioral



*...But sometimes worth the risk*

# COMPARATIVE TERATOGENICITY

Low birth weight risk for cardiovascular, metabolic, and psychiatric illnesses

Alcohol, nicotine, opiate exposure increase risk for sudden infant death syndrome (SIDS)

**TABLE 2** Summary of Effects of Prenatal Drug Exposure

	Nicotine	Alcohol	Marijuana	Opiates	Cocaine	Methamphetamine
<b>Short-term effects/birth outcome</b>						
Fetal growth	Effect	Strong effect	No effect	Effect	Effect	Effect
Anomalies	No consensus on effect	Strong effect	No effect	No effect	No effect	No effect
Withdrawal	No effect	No effect	No effect	Strong effect	No effect	*
Neurobehavior	Effect	Effect	Effect	Effect	Effect	Effect
<b>Long-term effects</b>						
Growth	No consensus on effect	Strong effect	No effect	No effect	No consensus on effect	*
Behavior	Effect	Strong effect	Effect	Effect	Effect	*
Cognition	Effect	Strong effect	Effect	No consensus on effect	Effect	*
Language	Effect	Effect	No effect	*	Effect	*
Achievement	Effect	Strong effect	Effect	*	No consensus on effect	*

\* Limited or no data available.

Behnke et al. Prenatal Substance Abuse: Short- and Long-term Effects on the Exposed Fetus. *Pediatrics*: 131 (3), March 2013

# COMPARATIVE TERATOGENICITY

**Table 1**

Neurodevelopmental consequences of prenatal drug exposure.

Age of exposure	Drug	Neurochemistry involved	Neurodevelopmental consequences	References
Late early to mid gestation (primarily based on animal studies)	Cocaine	DA > NE and 5-HT Blocks monoaminergic transporters and Increases synaptic concentrations of monoamines	Altered neuroanatomical morphology, disrupted cognition, altered cellular signaling	18 <sup>3</sup> 37 <sup>4</sup> 42 <sup>47</sup> , 54 <sup>59</sup> 63 <sup>65</sup> , 203
Throughout gestation	Alcohol	GABA and NMDA Blocks NMDA receptor activity and increases GABAergic activity	Craniofacial dysmorphologies, decreased birth weight, hyperactivity, cognitive deficits, cortical dysgenesis, cell death, reduced brain volume	113 <sup>115</sup> 118- 120 <sup>126</sup> 132
Throughout gestation	Nicotine	Acetylcholine Activates nAChRs	Decreased birth weight, hyperactivity, cognitive disabilities, emotional disruptions	82 <sup>86</sup> 94 <sup>96</sup> - 98 <sup>100</sup> 105, 107 <sup>108</sup>
Throughout gestation and early postnatal exposure	Amphetamine/ Methamphetamine	DA > NE and 5-HT Reverses the action of monoaminergic transporters and Increases synaptic concentrations of monoamines	Low birth weight, decreased arousal, deficits in learning, decreased volume of hippocampus and striatum	66 <sup>67</sup> 70 <sup>73</sup> , 76 <sup>81</sup>

Thompson, et al. Nat Rev Neurosci. 2009 April; 10(4)



# FETAL ALCOHOL SYNDROME DIAGNOSTIC CRITERIA

**Table 3: Brief Outline of Diagnostic criteria for Fetal Alcohol Syndrome**

## **Facial dysmorphism**

Based on racial norms, individual exhibits all three characteristic facial features:

- Smooth philtrum (University of Washington Lip-Philtrum Guide rank 4 or 5)
- Thin vermillion border (University of Washington Lip-Philtrum Guide rank 4 or 5)
- Small palpebral fissures (at or below 10th percentile)

## **Growth problems**

Confirmed prenatal or postnatal height or weight, or both, at or below the 10th percentile, documented at any one point in time (adjusted for age, sex, gestational age, and race or ethnicity).

## **Central Nervous System Abnormalities**

### **I. Structural**

- 1) Head circumference (OFC) at or below the 10th percentile adjusted for age and sex.
- 2) Clinically significant brain abnormalities observable through imaging.

### **II. Neurological**

Neurological problems not due to a postnatal insult or fever, or other soft neurological signs outside normal limits.

### **III. Functional**

Performance substantially below that expected for an individual's age, schooling, or circumstances, as evidenced by:

1. *Global cognitive or intellectual deficits representing multiple domains of deficit (or significant developmental delay in younger children) with performance below the 3rd percentile (2 standard deviations below the mean for standardized testing)*  
*or*
2. *Functional deficits below the 16th percentile (1 standard deviation below the mean for standardized testing) in at least three of the following domains:*
  - a) cognitive or developmental deficits or discrepancies
  - b) executive functioning deficits
  - c) motor functioning delays
  - d) problems with attention or hyperactivity
  - e) social skills
  - f) other, such as sensory problems, pragmatic language problems, memory deficits, etc.

## **Maternal Alcohol Exposure**

- I. Confirmed prenatal alcohol exposure
- II. Unknown prenatal alcohol exposure

## **Criteria for FAS Diagnosis**

Requires all three of the following findings:

1. Documentation of all three facial abnormalities (smooth philtrum, thin vermillion border, and small palpebral fissures);
2. Documentation of growth deficits
3. Documentation of CNS abnormality



# TEMPERAMENT

## ACTIVE & CREATIVE COPING

Suzuki 2014, Wu 2013, Russo 2012, Catani 2010, JAACAP PTSD Practice Parameter, 2010, Feder 2009, Gillespie 2009, Hansson 2008, Golse 2006, Charney 2004, Luthar 1991

# ACTIVE CHOICE

## Confrontation of fear

- Positive self-concept
- Internal locus of control
- Active, pragmatic engagement
- Stress inoculation



# CREATIVITY

## Flexible adaptation

- Variable response

## Optimism

- Positive emotionality
- Humor
- Meaning/purpose



# COPING

## Determination

- Frustration tolerance
- Skills and practice

## Sustainability

- Access social support
- Trust relationships
- Mentor
- Altruism



# RELATIONSHIPS & RESOURCES

## Supportive relationships

- **Safety:** availability of trustworthy relationships
- **Modeling:** parental resilience and effective coping
- **Social connection:** peer network, mentor, advocate
- **Structure**

## Culture and Morals

- **Cultural identification:** increased resilience in face of daily stress, development of internal locus of control for African American youth (Quintana 2006)
- **Moral Compass:** purpose, religion/spirituality

## Wellness Behaviors

- **Physical activity:** reduced sadness, suicidal ideation, suicide attempt among bullied and non-bullied students (Sibold 2015)
- **Musical instrument playing:** associated with cortical thickness maturation of bilateral orbitofrontal & parietal cortices and parahippocampal gyri (Hudziak 2014)

- Suzuki 2014
- Wu 2013
- Russo 2012
- Catani 2010
- JAACAP PTSD Practice Parameter, 2010
- Feder 2009
- Gillespie 2009
- Hansson 2008
- Golse 2006
- Quintana 2006
- Charney 2004
- Luthar 1991

# EMPIRICALLY SUPPORTED TRAUMA SPECIFIC PSYCHOTHERAPIES

# TRAUMA SPECIFIC PSYCHOTHERAPIES: CORE COMPONENTS

## BEFORE INTERVENTION

1. **Risk screening:** identify high-risk clients
2. **Triage:** match clients to the interventions that will most likely benefit them
3. **Systematic assessment and treatment planning:** tailor intervention to the needs, strengths, circumstances, and wishes of individual clients
4. **Engagement & address barriers:** ensure clients receive an adequate dosage of treatment in order to make sufficient therapeutic gains



# TRAUMA SPECIFIC PSYCHOTHERAPIES: CORE COMPONENTS

## DURING INTERVENTION

1. **Motivational interviewing:** engage clients
2. **Psychoeducation:** trauma and loss reminders, posttraumatic stress reactions, and grief reactions
3. **Teach and practice coping skills:** emotional regulation skills, safety skills, relapse prevention skills
4. **Exposure and response prevention:** reduce posttraumatic stress reactions
5. **Monitor** client progress during treatment
6. **Evaluate** treatment effectiveness

# TRAUMA SPECIFIC PSYCHOTHERAPIES: CORE COMPONENTS

## DURING INTERVENTION

7. **Parenting skills** to improve caregiver-youth relationship
8. Maintaining **adaptive routines** at home and outside of home
9. **Advocacy** on behalf of the client to improve client support and functioning outside of the home

# EFFECTIVE PSYCHOTHERAPY:

## THE RELATIONSHIP

*among client, family, and therapist*



# TRAUMA SPECIFIC PSYCHOTHERAPIES

- CPP: Child-Parent Psychotherapy
- **CPS**: Collaborative Problem Solving
- **CBT**: Cognitive Behavior Therapy (TF-CBT, CBITS)
- **DBT**: Dialectical Behavior Therapy
- EMDR: Eye Movement Desensitization & Reprocessing
- Honoring Children, Making Relatives/Mending the Circle/Respectful Ways
- **PCIT**: Parent-Child Interaction Therapy
- Sanctuary Model
- Seeking Safety

KIDS AND THEIR FAMILIES DO WELL IF  
THEY CAN...*AND IF THEY CANNOT,*  
*SOMETHING IS GETTING IN THE WAY.*

IT IS OUR JOB TO HELP FIGURE OUT  
WHAT IS GETTING IN THE WAY.

THAT WHICH TENDS TO GET IN THE WAY:

- INSUFFICIENT UNDERSTANDING OF THE PROBLEM
- LAGGING, LACKING, or UNACCESSED DEVELOPMENTAL SKILLS:



Emotion and Self-Regulation Skills

Language and Communication Skills

Social Thinking Skills

Attention and Working Memory Skills

Cognitive Flexibility Skills

# TF-CBT

## TRAUMA FOCUSED COGNITIVE BEHAVIOR THERAPY

- Age range: 3- 21yr
- Individual and Conjoint Sessions
- Average duration of treatment: 12 – 25 sessions
- Components:
  - Skill building: relaxation, emotion regulation, cognitive reframing, parenting
  - Trauma processing: trauma narrative and in vivo exposure to triggers
- Theoretical basis: Cognitive-Behavioral and Family



<https://tfcbt.musc.edu/>

# TF-CBT: STRUCTURE



## Gradual Exposure

## P.R.A.C.T.I.C.E.

### Sessions 1 – 4

- Psychoeducation and Parenting skills
- Relaxation
- Affective expression and regulation
- Cognitive Coping

### Sessions 5 - 8

- Trauma narrative and processing
- In vivo exposure

### Sessions 9 - 12

- Conjoint caregiver + youth sessions
- Enhancing safety and future development



# DBT

## DIALECTICAL BEHAVIOR THERAPY

- Age Range: 8 – 21yr
- Individual therapy and multifamily group
- Average duration of treatment: 6 – 12mo of twice weekly appt
- Components:
  - Skill building: mindfulness, distress tolerance, emotion regulation, interpersonal effectiveness, middle path/dialectical stance, situational analysis (skills training group, 6mo)
  - Trauma processing: in vivo exposure to triggers (individual therapy)
  - Phone coaching
  - Therapist consultation team
- Theoretical basis: Cognitive-Behavioral and Mindfulness

<http://behavioraltech.org>

# DBT

## WHEN YOU HAVE A PROBLEM

Check SUDS  
(subjective units of distress scale)

4 Options

*RADICAL  
ACCEPTANCE*

STAY  
MISERABLE

PROBLEM  
SOLVE

CHANGE  
HOW YOU  
FEEL

- Willingness Skills
- Open Hands
- Wise Mind
- Being Effective
- Doing What is Needed

- Get into Rational Mind
- Pros & Cons
- Problem Solve
- Interpersonal Effectiveness Skills

- Change Emotion Mind
- Distress Tolerance
- TIPP
- Self Soothe
- Distract
- Opposite Action

Do Not  
Change

- EVALUATE: Check SUDS
- Try Another Skill or Option if Still Distressed

# PCIT

## PARENT-CHILD INTERACTION THERAPY



- Age range: 2 – 12yr
- Conjoint child and caregiver sessions
- Average duration of treatment: 12 – 20 sessions
- Components:
  - Skill building: direct parent coaching, parent self-care
  - Relationship building: child directed interactions, parent directed interactions
- Theoretical basis: Attachment and Behavioral

<http://www.pcit.org/>

# PCIT



## 8 RULES of EFFECTIVE COMMANDS

- DIRECT – *“Please hand me the block” instead of “Will you hand me the block?”*
- DO THIS – *positively stated, “Do this” instead of “Don’t do that!”*
- ONE at a time – *single actions*
- SPECIFIC – *“Please get off the table” instead of “Be careful!”*
- AGE-APPROPRIATE
- POLITE & RESPECTFUL
- ONLY WHEN NECESSARY
- AFTER EXPLANATION – *give concise explanation and then command. If forget to give explanation, explain only after command obeyed to avoid “whys?”*

# MEDICATION

*No FDA approved medications for PTSD in youth*

- Sertraline (Zoloft): adult studies - two 12wk trials, one 52wk continuation trial

# TRAUMA SPECIFIC PSYCHOTHERAPIES

- Multiple options
- Therapeutic relationship matters
- Systemically oriented
- Collaborative treatment can support resilience and change biology





***THANK YOU***

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